

Docket No. 293255US0PCT



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kathrin MICHL, et al.

SERIAL NO: 10/586,134

GAU:

FILED: July 17, 2006

EXAMINER:

FOR: THERMALLY POLYMERISABLE MIXTURES OF MULTIFUNCTIONAL MACROMONOMERS,
POLYMERISATION INITIATORS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

MAIL STOP PCT

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
293255US0PCTSERIAL NO.
10/586,134

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Kathrin MICHL, et al.FILING DATE
July 17, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 096 938	03-17-92	BECK, Erich et al.			
	AB	2006 0052571	03-09-06	HEISCHKEL, Yvonne et al.			
	AC	5 391 628	02-21-95	GAILLARD, Patrice et al.			
	AD	5 691 419	11-25-97	ENGELKE, Stephan et al.			
	AE	6 111 024	08-24-00	MCKEE, Graham Edmund et al.			
	AF	5 686 531	11-11-97	ENGELKE, Stephan et al.			
	AG	5 096 938	03-17-92	BECK, Erich et al.			
	AH	5 602 191	02-11-97	REICH, Wolfgang et al.			
	AI	6 641 911	11-04-03	PUERKNER, Eckhard et al.			
	AJ	5 066 759	11-19-91	HILLE, Hans-Dieter et al.			
	AK	5 275 874	01-04-94	BRANDENBURG, Kathryn et al.			
	AL	6 221 973	04-24-01	ARKENS, Charles et al.			
	AM	5 932 665	08-03-99	DEPORTER, Craig Donald et al.			
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	01 12736	02-22-01	WO (with English abstract and equivalent of US 6 835 420)		NO
	AP	01 73199	10-04-01	WO (with English abstract and US 2003 0068478)		NO
	AQ	00 26309	05-11-00	WO (with English abstract and equivalent of US 6 534 185)		NO
	AR	05 331220	12-14-93	JP (with English abstract)		NO
	AS	9 5991	01-10-97	JP (with English abstract)		NO
	AT	95 35402	12-28-95	WO ((with English abstract)		NO
	AU	0 990 727	04-05-00	EP		NO
	AV	97 31036	08-28-97	WO (with English abstract and equivalent of US 6 071 994)		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 293255US0PCT		SERIAL NO. 10/586,134	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kathrin MICHL, et al.			
				FILING DATE July 17, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA						
	AAB						
	AAC						
	AAD						
	AAE						
	AAF						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AAG	44 10 020	09-28-95	DE (with English abstract)			NO
	AAH	44 21 254	12-21-95	DE (with English abstract)			NO
	AAI	91 10713	07-25-91	WO (with English abstract and equivalent of US 5 374 691)			NO
	AAJ	0 279 303	08-24-88	EP (equivalent of US 5 096 938)			NO
	AAK	28 53 921	07-03-80	DE (equivalent of US 4 287 039)			NO
	AAL	0 686 632	12-13-95	EP			NO
	AAM	102 59 673	07-01-04	DE (equivalent of US 2006 0052571)			NO
	AAN						
	AAO						
	AAP						
	AAQ						
	AAR						
	AAS						
	AAT						
	AAU						
	AAV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAW						
	AAX						
	AAY						
	AAZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No: 10/586,134

Filed: July 17, 2006

Kathrin MICHL, et al.

Docket No. 293255US0PCT

STATEMENT OF RELEVANCY

- 1) References AC - AJ and AO - AT have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AK - AM, AU - AV and AAG - AAM are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

		Docket No.: 293255US0PCT		Serial No.: 10/586,134	
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56		Inventor: Kathrin MICHL, et al.			
		Filing Date: July 17, 2006		Group:	
<u>LIST OF RELATED CASES</u>					
Examiner <u>Initial</u>	<u>Docket No.</u>	Serial or <u>Patent</u> <u>Number</u>	Filing or <u>Issue Date</u>	Patent App. <u>Publication No.</u>	Inventor or <u>Applicant</u>
	293255US0PCT*	10/586,134	07/17/06		MICHL, et al.
	PER CLIENT	07/148,354	01/25/88		BECK, et al.
	PER CLIENT	5,096,938	03/17/92		BECK, et al.
	271997US0PCT	10/539,830	06/17/05	US2006/0052571 A1	HEISCHKEL, et al.
Examiner	Date Considered				
<p>*Present Application; listed for information NFO/kch</p>					